



**CHILDREN**

	Name	DOB	Soc. Sec. #
1.	_____	_____	_____
2.	_____	_____	_____
3.	_____	_____	_____
4.	_____	_____	_____

Children's Assets (Trusts, Custodial-UGMA/UTMA, Education-529, ESA)

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

Education Funding Analysis Required for \_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**PERSONAL FINANCIAL OBJECTIVES**

Rank in order of importance - "1" being most important.

_____	Build Wealth	_____	Retirement Comfort
_____	Reduce Income Taxes	_____	Children's Education
_____	Reduce Estate Taxes	_____	Cash Flow & Debt Management

**HOW WOULD YOU ASSESS YOUR RISK TOLERANCE?**

<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>		
Self	Safe	Low	Medium	High	Spouse	Safe	Low	Medium	High

**SPECIFIC PLANNING OBJECTIVES AND/OR ISSUES TO BE ADDRESSED  
INDICATE TIME HORIZON IF APPLICABLE**

\_\_\_\_\_

\_\_\_\_\_

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**ASSETS**

**Cash Alternatives** (Checking, Money Market, CD, T-Bill, Savings Bond)

	Asset	Owner	Current Balance	Interest Rate
1.	_____	Self Sp Jt	\$ _____	_____ %
2.	_____	Self Sp Jt	_____	_____ %
3.	_____	Self Sp Jt	_____	_____ %
4.	_____	Self Sp Jt	_____	_____ %

**Securities** (Stocks, Bonds, Mutual Funds, Managed Accounts)

	Asset	Owner	Shares	Current Value	Cost
1.	_____	Self Sp Jt	_____	\$ _____	\$ _____
2.	_____	Self Sp Jt	_____	_____	_____
3.	_____	Self Sp Jt	_____	_____	_____
4.	_____	Self Sp Jt	_____	_____	_____

**Retirement Assets** (IRA, SEP-IRA, 401(k), 403(b), Roth IRA, Pension/Profit Sharing)

	Asset	Owner	Current Value	Annual Contribution Yours/Employers
1.	_____	Self Sp	\$ _____	_____ / _____
2.	_____	Self Sp	_____	_____ / _____
3.	_____	Self Sp	_____	_____ / _____
4.	_____	Self Sp	_____	_____ / _____

**Personal Assets** (Residence, Vacation Home, Auto, Time Share, Jewelry, Art/Antiques)

	Asset	Owner	Current Value	Purchase Price/Date
1.	_____	Self Sp Jt	\$ _____	_____
2.	_____	Self Sp Jt	_____	_____
3.	_____	Self Sp Jt	_____	_____

**Other** (Business Interest, Ltd. Partnerships, Receivables, Cash Value Life Insurance)

	Asset	Owner	Current Value	Purchase Price/Date
1.	_____	Self Sp Jt	\$ _____	_____
2.	_____	Self Sp Jt	_____	_____
3.	_____	Self Sp Jt	_____	_____

**LIABILITIES** (Mortgages, Auto Loans, Lines of Credit, Margin, Credit Cards, Student Loans, Cash Value Loans)

	Liability	Owner	Financed Amt	Interest Rate	Start Date	Term
1.	_____	Self Sp Jt	\$ _____	_____ %	_____	_____
2.	_____	Self Sp Jt	_____	_____ %	_____	_____
3.	_____	Self Sp Jt	_____	_____ %	_____	_____
4.	_____	Self Sp Jt	_____	_____ %	_____	_____
5.	_____	Self Sp Jt	_____	_____ %	_____	_____

**INCOME (GROSS)**

	Self	Spouse	Frequency
Salary	\$ _____	\$ _____	_____
Bonus	\$ _____	\$ _____	_____
Self-Employment	\$ _____	\$ _____	_____
Other (Retirement, Rental, etc.)	\$ _____	\$ _____	_____

**LIVING EXPENSES**

	Monthly	Annual
Savings	\$ _____	\$ _____
Children's Expenses	_____	_____
Food	_____	_____
Clothing	_____	_____
Dry Cleaning/Laundry	_____	_____
Entertainment/Dining Out	_____	_____
Vacations	_____	_____
Gifts/Celebrations	_____	_____
Auto Gas & Repairs	_____	_____
Other Transportation	_____	_____
Rent/Mortgage Payment	_____	_____
Line of Credit Payment	_____	_____
Auto Payment	_____	_____
Credit Card Payment	_____	_____
Other Loan Payment	_____	_____
Home Repair & Maintenance	_____	_____
Utilities	_____	_____
Telephone (Cell/Internet)	_____	_____
Home Improv/Purchases	_____	_____
Homeowners Insurance	_____	_____
Auto Insurance	_____	_____
Umbrella Insurance	_____	_____
Life Insurance	_____	_____
Disability Insurance	_____	_____
Personal Care	_____	_____
Household Help	_____	_____
Dues/Subscriptions	_____	_____
Pet Expenses	_____	_____
Other Living Expenses	_____	_____
Miscellaneous Cash	_____	_____

**TAX RELATED EXPENSES**

Medical Insurance	\$ _____	\$ _____
Long-Term Care Insurance	_____	_____
Medical/Dental	_____	_____
Real Estate Tax	_____	_____
Personal Property Tax	_____	_____
Charitable Contributions	_____	_____
Tax Preparation Fee	_____	_____
Other Miscellaneous	_____	_____